

CREATING AND IMPLEMENTING A VISION: WIOA STATE PLANS

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Objectives

- 1. To understand State plan basics purpose, programs, elements, and submission
- 2. To provide an overview of the modifications requirement
- To provide information about accessing the State plan portal and viewing published State plans

STATE PLAN BASICS

State Plan Governing Authority and Purpose

- Governor must submit a four-year plan to Secretary of Labor to be eligible for core programs to receive funding.
- Two options for submitting a State plan:
 - Unified Plan WIOA §102
 - Combined Plan WIOA §103
- State plans communicate the State's vision for workforce system and are used to align and integrate the system across federal programs.



A State Plan Must Include Six Core Programs

- 1. The Adult program (title I of WIOA)
- 2. The Dislocated Worker program (title I)
- 3. The Youth program (title I)
- 4. The Adult Education and Family Literacy Act program (title II)
- 5. The Wagner-Peyser Act program (Wagner-Peyser Act, as amended

by title III)

6. The Vocational Rehabilitation program (title I of the Rehabilitation Act of 1973, as amended by title IV)

KEY: DOL, ED

WIOA

Title

WIOA Combined Plans May Include:

- Career and technical education programs (Perkins)
- Temporary Assistance for Needy Families program (TANF)
- Supplemental Nutrition Assistance Program (SNAP)
- Work programs authorized under section 6(o) of the Food and Nutrition Act of 2008
- Trade Adjustment Assistance for Workers programs
- Jobs for Veterans State Grants Program (JVSG)

- Unemployment Insurance programs
- Senior Community Service Employment (SCSEP)
- Employment and training activities carried out by the Department of Housing and Urban Development

Community Services Block Grant (CBSG)

State Plan Submission and Review Process

- Subject to public review and comment
- Submitted through the Governor's office
- Reviewed by interagency teams of core programs staff and, if applicable, partner programs staff if the submitted plan was a combined plan
- Approved by the Secretaries of Education and Labor



First WIOA State Plans and Modifications

2016

- The first four-year plans under WIOA were submitted and approved in Spring and Summer 2016
- Approval process included all federal core programs and optional partner programs

2018

- The first mandatory two-year modifications were submitted and approved in Spring and Summer of 2018
- Approval process included all federal core programs and optional partner programs

Second WIOA State Plans

- Four-year plans were submitted in Spring 2020
- Approval process included all federal core programs and optional partner programs
- Plans were approved by July 1, 2020

Second WIOA State Plan Modifications

- Each State will be required to submit plan modifications in spring 2022 for the following two years
- Approval process will include all federal core and optional partner programs

Information Collection Request (ICR)

- Allows federal government to collect information from States
- Outlines the required elements for Unified and Combined Plans and modifications
- Undergoes public comment period prior to release
- Minor revisions to the ICR were included for IELCE narrative for the plan submitted in Spring 2020

How the State Plan is Organized

- Strategic Planning Elements
- Operational Planning Elements





- One Performance Indicator on behalf of the 6 Core Programs
- Other Performance Indicators
- Other State Attachments (Optional)



Strategic Planning Elements of a State Plan

This section includes:

- Economic, Workforce, and Workforce Development Activities
- State Strategic Vision and Goals
- State Strategy

These analyses drive the required vision and goals for the State's workforce development system and alignment strategies for workforce development programs to support economic growth.



Operational Planning Elements of a State Plan

This section includes:

- State Strategy Implementation
- State Operating Systems and Policies
- Assurances
- Program-Specific Requirements for the Core Programs
- Program-Specific Requirements for the Combined State Plan Partner

Programs



AEFLA Program Specific Elements

- Aligning Content Standards
- Local Activities
- Corrections Education and Education of Institutional Individuals
- Integrated English Literacy and Civics Education Program
- State Leadership
- Assessing Quality
- Certifications
- Assurances
- GEPA



Additional State Plan Elements

Performance Indicator Appendices

- Performance Indicator All WIOA Core Program
 - Effectiveness in Serving Employers
- Other Performance Indicators
- Other State Attachments (Optional)



Creating High Quality Elements

Use of factors that are encouraged in **developing high quality plans**:

- Incorporating WIOA's key principles and Departments' policy priorities
- Using labor market data and workforce information to ensure customers have high quality information available for their decision making
- Including robust analysis (workforce development, education, training activities)
- Considering how to accomplish program alignment and integration of services



Collaborative Planning

Keys to strong plans:

- Joint planning and coordination across core programs, required one-stop partners, and combined partners
- Agency review and feedback for each program
- Opportunity for public comment



STATE PLAN MODIFICATIONS

State Plan Modifications

- Each State will be required to submit plan modifications in spring 2022
- A State may also submit a modification at any time during the four-year period of the plan

What Triggers a Modification?

- Changes in labor market and economic conditions
- Other factors affecting the implementation of the State plan
- Changes in policy that affect the statewide visions, strategies, goals, and priorities that the Plan is based on
- Changes in statewide vision, strategies, policies, levels of performance
- Funding allocation methodology
- Reorganizations of State offices



Modification Process

- Subject to public review and comment
- Submitted through the Governor's office
- Reviewed by interagency teams of core programs staff and, if applicable, partner programs staff
- Approved by the Secretaries of Education and Labor and other additional Secretaries if their programs are included in a Combined plan.

Performance Requirements in the State Plan Modification

- States will include proposed levels of performance for PY 2022 and PY 2023 in their modification submission
- OCTAE will negotiate the levels of performance with each State
- States will enter the negotiated levels of performance in the Performance Table

STATE PLAN PORTAL

State Plan Portal

- A new State plan portal launched in Spring 2020 for the submission of PY 2020-2023 plans
- Plans from the previous RSA portal were migrated to the new portal and are now publicly available
- OCTAE is managing the contract for the State Plan Portal
- The change was due to a need to address security measures for ED systems



Access:

www.wioaplans.ed.gov

Contact: Kenneth.Kalman@ed.gov



Review

- The first WIOA State plans were submitted in spring 2016
- Modifications were submitted in Spring 2018
- The second 4-year plans were submitted Spring 2020
- The second modifications will be due in Spring 2022
- Plans contain strategic and operational elements
- State plans are submitted via an online portal
- Published state plans can be found at:
 - wioaplans.ed.gov



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